

Express Mail No. EQ 060217486 US

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 22212USWO (C038435/0196793)

SAPPHICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2004/010283

INTERNATIONAL FILING DATE

15 September 2004

ORITY DATE CLAIMED 23 September 2003

TITLE OF INVENTION

COMPOSITIONS FOR THE TREATMENT AND PREVENTION OF DIABETES MELLITUS

APPLICANT(S) FOR DO/EO/US Daniel RAEDERSTORFF, Sandra Renata TEIXEIRA, Ying Wang SCHMIDT, Peter WEBER, and Swen WOLFRAM Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18 L A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp.); Certificate of Express 20. 🗵 Other items or information: Mailing (1 page); and Return Postcard.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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P20Rec'dFCT/PTO 23 MAR 2006

PTC-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (Eknown seeds) CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER				
IU/9/5666 PCT/EP2004/010283					0283	22212USWO (C038435/0196793)			
21. The following fees are submitted:							olicant use	Office use only	
X a) Basic national fee\$300.00						\$	300.00		
					. \$200.00	\$	200.00		
X c) Search fee\$500.00				.\$500.00	\$	500.00			
тс	TAL OF ABOVE CA	CULATIO	NS =		\$1000.00	\$	1,000.00		
Additional fee f	or specification and d	rawings file	d in paper over 100 sheets (e	xcludi	ng sequence				
	uter program listing til heets of paper or frac		ctronic medium). The fee is	\$250 N	or each				
Total Sheets Extra sheets Number of each additional 50 or fraction RATE			RATE						
thereof (round up to a whole number)									
16 - 100 =	0 /50 =		0		x \$250.00	\$	0.00		
Surcharge of \$130.0 claimed priority date		ath or decla	ration later than 30 months fr	om the	e earliest	\$			
CLAIMS	NUMBER FI	ED	NUMBER EXTRA		RATE	\$			
Total claims	20	- 20 =	0	х	\$50.00	\$	0.00		
Independent claims	4	- 3 =	1	х	\$200.00	\$	200.00		
MULTIPLE DEPEN	DENT CLAIM(S) (if an	pficable)		+	\$360.00	\$	0.00		
			TOTAL OF ABOVE	CALC	ULATIONS =	\$	1,200.00		
Applicant clain by 1/2.	ns small entity status.	See 37 CF	R 1.27. The fees indicated at	ove a	re reduced	\$	0.00		
	SUBTOTAL = \$ 1,200.00								
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).									
TOTAL NATIONAL FEE = \$ 1,200.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 0.00									
TOTAL FEES ENCLOSED = \$ 1,200.00						^			
						\$			
Amount to be refunded Amount to be charge								\$	
			,	ount t	o be charged	77		Ψ	
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of \$ 1,200.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No02-4467 . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not									
be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Stephen M. Haracz, Esq.									
	BRYAN CAVE LLP SIGNATURE / SIGNATURE / Stephen J. Brown				•				
A Comment of the Comm	or the America W York 10104	-			NAME	J.6	PITCH G. DIOWI		
Phone: (212)		•					43,519		
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AP20 Rec'd PCT/PTO 23 MAR 2006

Docket No. 22212USWO (C038435/0196793)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re Application of:				
Daniel RAEDERSTORFF et al.				
Based on Int'l Application No.:	PCT/EP2004/010283)	Examiner:	Not yet assigned
International Filing Date:	15 September 2004)	Art Unit:	Not yet assigned
Filed:	Herewith)		
For: COMPOSITIONS FOR TH PREVENTION OF DIABET)		
CERT	TIFICATE OF EXPRESS MA	LING	<u>.</u>	
"Express Mail" Mailing Lab Date of Deposit: March 2	el No.: EQ 060217486 US 3, 2006		•	
I hereby certify that the following:				
	ed States Designated/Elected 371 (2 pp) in duplicate stent And Power Of Attorney nent and PTO-1449 (5 pp, inc t, Written Opinion of the Inte eport on Patentability, and co o, including duplicate page 1) actitioners Pursuant to 37 CF	(3 pp cludii rnati ppies	e) (executed ng duplicate onal Search of docume	l) e page 3), with ning Authority, nts

Marvin Chisholm

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000

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LAP20 Rec'd FCT/PTO 23 MAR 2006

Express Mail No. EQ 060217486 US Docket No. 22212USWO (C038435/0196793)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re A	pplication of:)		
Daniel	RAEDERSTORFF et al	!)		
Based	on Int'l Application No.:	PCT/EP2004/010283)	Examiner:	Not yet assigned
Interna	ational Filing Date:	15 September 2004)	Art Unit:	Not yet assigned
Filed:		Herewith)		
For:	COMPOSITIONS FOR PREVENTION OF DIA	THE TREATMENT AND BETES MELLITUS)		

New York, New York March 23, 2006

<u>PURSUANT TO 37 CFR § 1.32(c)(3)</u>

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Combined Declaration For Patent and Power of Attorney, filed concurrently herewith, names more than ten patent practitioners. Pursuant to 37 CFR § 1.32(c)(3) "such power of attorney must be accompanied by a separate paper indicating which ten patent practitioners named in the power of attorney are to be recognized by the Office as being of record in application or patent to which the power of attorney is

directed." See also "Revision of Power of Attorney and Assignment Practice," 1283 OG 148, June 22, 2004.

Accordingly, this separate paper is being submitted concurrently with the COMBINED DECLARATION FOR PATENT AND POWER OF ATTORNEY to designate the following practitioners for recognition by the Office as of record in the above-captioned application.

Name	Reg. No.
Haracz, Stephen M.	33,397
MacRae, Warren K.	37,876
Hooper, Kevin C.	40,402
Brown, Stephen J.	43,519
Avigliano, Charles	52,578

If any questions arise regarding this paper, please contact the undersigned attorney.

Respectfully submitted,

Stephen J. Brown

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